### TULANE ENVIRONMENTAL LAW CONFERENCE, 2001 Pharmaceutical Discharges and Drinking Water

Current Good Manufacturing Practices, Emission Regulations, and Guidances Minimize or Prevent Pharmaceutical Discharges from Entering our Sources of Drinking Water

Ranga Velagaleti, Philip K Burns, Michael A Gill, and James Prothro

**BASF** Corporation, Shreveport, LA

# Manufacture of Pharmaceutical Chemicals

- Manufacture of pharmaceutical finished dosage forms (drug products) normally takes place in two stages. They are 1) the synthesis/manufacture (using raw materials and synthesis intermediates) of API or bulk drug; and 2) the manufacture of the finished drug product (using the API and excipients).
- FDA requires accountability for various components of APIs or drug product during manufacture through comparison of actual yields with theoretical yields under the cGMP regulations (6,7).
  - EPA regulates the emissions and effluent discharges from pharmaceutical manufacturing. The Federal Water Pollution Control Act (Clean Water Act) amendments of 1972 established a comprehensive program to "restore and maintain the chemical, physical and biological integrity of the Nation's waters", under which EPA issued effluent limitation guidelines, pretreatment standards, and new source performance standards for industrial discharges.

## Manufacture of Pharmaceutical Chemicals

> EPA regulates the emissions and effluent discharges from pharmaceutical manufacturing. The Federal Water Pollution Control Act (Clean Water Act) amendments of 1972 established a comprehensive program to "restore and maintain the chemical, physical and biological integrity of the Nation's waters", under which EPA issued effluent limitation guidelines, pretreatment standards, and new source performance standards for industrial discharges.

## Manufacture of Pharmaceutical Chemicals

- The regulations (EPA, September 21, 1998) for effluent limitation guidelines for the pharmaceutical manufacturing point source category are described in 40 CFR Parts 136 and 439 titled "Pharmaceutical Manufacturing Category Effluent Limitations Guidelines, Pretreatment Standards, and New Source Performance Standards; Final Rule" (8).
- Compliance with FDA and EPA mandated regulations/guidances may preclude or minimize any significant release of pharmaceutical chemicals into the environment during manufacture.

- Under the NEPA mandate (21 CR Part 25) Environmental Assessment Reports (EAs) supported by experimental data were required by FDA for all drugs as a part of the New Drug Applications (NDAs) for approximately 10 years (~1985 to 1995).
- In 1995, the data on the fate and effects of several hundred pharmaceutical chemicals generated during this period was reviewed by FDA (9), and based on these data FDA reevaluated and revised its environmental regulations (10) for human drugs.

- Since 1998, as per this guidance, applicants are required to provide an EA when the expected introduction concentration (EIC) of the active ingredient of the drug in the aquatic environment (EIC-Aquatic) exceeds one part per billion (1 ppb).
- Applicants were granted categorical exclusions from EA requirements if the EIC-aquatic was <1 ppb.

> The EIC at the point of entry into the aquatic environment in ppb is calculated by the following equation provided in FDA guidance (10): A x B x  $C \times D$ , where,  $A = \frac{kg}{year}$  produced for direct use as active moiety (maximum production/year in a five-year production cycle based on marketing estimates); B = 1/liters per day entering POTW, estimated at  $1.214 \times 10^{11}$  (from the 1996 Needs Survey by the EPA); C = year/365 days; and D = 109 μg/kg (conversion factor).

- Based on this equation, EIC-aquatic of 1 ppb = 44,300 kg of active ingredient of drug /year.
- Yearly production of majority of human health drugs is much below this cut-off point of 44, 3000 kg.
- Categorical exclusions from EA are normally granted if the EIC is <1 ppb.</p>

### Disposal of Pharmaceutical Chemicals

- The disposal of unused, expired or returned APIs or drug products are scrutinized under material accountability of cGMP regulations.
- As stated in cGMPs, records of returned APIs, intermediates, drug products should be maintained and should include the name, batch or lot number, reason for the return, quantity returned, date of disposition, and ultimate disposition.

### Disposal of Pharmaceutical Chemicals

- Under the ultimate disposition status to destroy, a majority of the pharmaceutical compounds are disposed of through incineration or landfilling in a certified incinerator or landfill, respectively, both disposal methods designed to contain the exposure of residues to the aquatic or terrestrial environment.
- Hospitals, pharmacies, and clinics dispose empty or partially empty packages by collecting in appropriate containers and ultimate disposition through certified landfill or incinerator.

### Disposal of Pharmaceutical Chemicals

- Expired drug products are generally returned to the manufacturer or distributor, either of whom may dispose the drug either through landfilling or incineration.
- At homes, empty or partiality empty containers are disposed through solid waste management systems, which include predominantly disposal in certified landfills. Domestic waste from pets, containing drug residues is similarly disposed into landfills.
- Therefore, disposal of pharmaceutical drugs is largely regulated and contained, eliminating this source as a contributor of pharmaceutical discharges into the environment.

# **Conclusions - Pharmaceutical Chemicals**

- Compliance with FDA cGMP regulations and FDA and EPA regulations and guidances currently in place for pharmaceutical chemical discharges from manufacture, use and disposal prevent or minimize pharmaceutical drugs from entering sources of drinking water in the United States and causing any risk to human health.
- The authors believe that neither additional treatment of drinking water sources over and above existing now, nor new regulations are required.

# **Conclusions - Pharmaceutical Chemicals**

- In the rare event of pharmaceutical chemical residues being detected in drinking water supplies, using available analytical technologies, establishment of a clear cause and effect relationship investigations are required, to explain unusual circumstances that may have resulted in the detection of such residues and their impact on human health.
- The unusual circumstances may include noncompliance with existing regulations or accidental discharges.

- Daughton CG, Ternes TA. Pharmaceuticals and personal care products in the environment: Agents of subtle change? Environmental Health Perspectives 107 (6): 907-938 (1999).
- Halling-Sorensen B, Nors Nielsen S, Lanzky PF, Ingerslev F, Holten Lutzhoft HC, Jergensen SE. Occurrence, fate and effects of pharmaceutical substances in the environment – a review. Chemosphere 36(2): 357-393 (1998).
- Ralloff J. Drugged waters Does it matter that pharmaceuticals are turning up in water supplies? Science News 153:187-189 (1998).

- Velagaleti R. Behavior of pharmaceutical drugs (human and animal health) in the environment. Drug Inform J 31(3): 715-722 (1997).
- Velagaleti R, Winberry MW. Risk assessment of human and animal health drugs in the environment: A chemical fate-and environmental effects approach. Environmental Toxicology and Risk Assessment: Seventh Volume, ASTM STP 1333 (Little EE, DeLonay AJ, Greenberg BM eds). American Society for Testing and Materials, 1998 356-367.

- US FDA (United States Food and Drug Administration). Current Good Manufacturing Practice for Active Pharmaceutical Ingredients: Guidance for Industry – Manufacturing, Processing, or Holding Active Pharmaceutical Ingredients. March 1998.
- US FDA (United States Food and Drug Administration). Current Good Manufacturing Practice for Finished Pharmaceuticals. Revised April 1995.

- US EPA (United States Environmental Protection Agency). 40 CFR Parts 136 and 149. Pharmaceutical Manufacturing Category Effluent Limitations Guidelines, Pretreatment Standards, and New Source Performance Standards; Final Rule. September 1998.
- US FDA (United States Food and Drug Administration), CDER (Center for Drug Evaluation and Research). Retrospective review of ecotoxicity data submitted in environmental assessments for public display. Docket number 96N-0057 (1997).

US FDA (United States Food and Drug Administration). Guidance for Industry – Environmental Assessment of Human Drugs and Biologics Applications. July 1998.

> AHI (Animal Health Institute), **Environmental Risk Assessment Working** Group. Analysis of data and information to support a PEC<sub>soil</sub> trigger value for Phase I (A retrospective review of ecotoxicity data from environmental assessments submitted to FDA/CVM to support the approval of veterinary drug products in the United States from 1973-1977. AHI, Washington DC (1997).

European Agency for the Evaluation of Medicinal Products, Committee on Veterinary Medicinal Products. Guidelines on Environmental Impact Assessments (EIAs) for Veterinary Medicinal Products (VMPs) - Phase I. CVMP/VICH/592/98-FINAL, 20 July 2000 [VICH GL6 (Ecotoxicity Phase I), June 2000].